

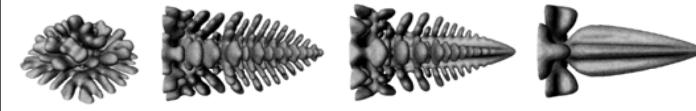
Phase-field simulation of solid state transformation with thermo-mechanical interaction

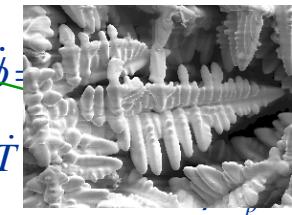
Ingo Steinbach

ICAMS  
INTERDISCIPLINARY CENTRE FOR ADVANCED MATERIALS SIMULATION

RUHR UNIVERSITÄT BOCHUM RUB

Thermal dendrites: Ryo Kobayashi 1994

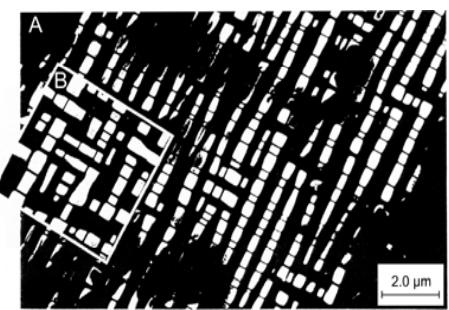




$\tau \dot{\phi} = \dot{T}$

ICAMS 2 RUB

$\gamma'$  precipitates in Ni-base superalloys:  
Y. Wang, L.Q. Chen, A.G. Khachaturyan 1995

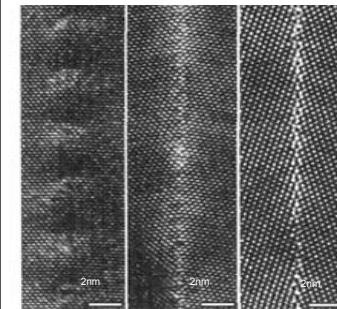


Ni-base super alloy: aligned cuboids in crystallographic directions

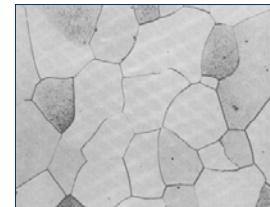
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Grain boundary structure

TEM Picture  
<110> tilt grain boundary in  $ZrO_2$   
Y. Ikuhara, N. Shibata, T. Watanabe, F. Oba, T. Yamamoto and T. Sakuma, Ann. Chim. Sci. Mat., 2002, 27 Suppl 1:S21-30



5.0°      20.0°      39.0°  $\Sigma 9$

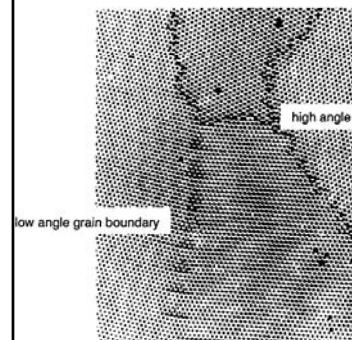


Grain boundaries in ferrite

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### Curvature driven flow

Phenomenological picture  $V = \mu\sigma \cdot \kappa$



How does an atom close on one side of a grain boundary know its mean curvature?

G. Gottstein, Physical Foundation of Materials Science, Springer

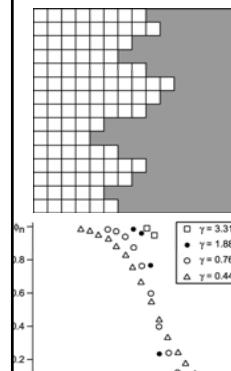
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5



### Curvature driven flow

Mechanistic picture: The atoms at grain boundaries jump between lattice sites of the adjacent crystals



Characteristic function  $\phi$  indicates the belonging of one atom to one crystal respectively

$$\dot{\phi} \propto \nabla^2 \phi$$

The belonging to one crystal is favourable

$$\dot{\phi} \propto \phi(1-\phi)(0.5-\phi)$$

The balance between both actions leads to a stable interface

6



### Outline

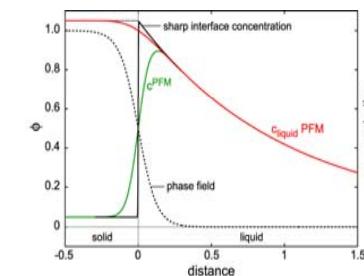
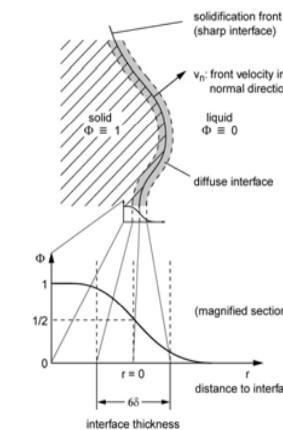
- Basics of "phase-field" models
- Elastic interactions
- Examples:
  - Pearlite
  - Strain stabilized precipitation

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7



### Diffuse interface with steep concentration profile



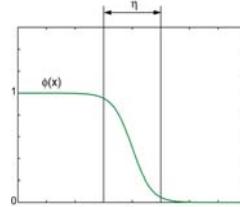
Concentration profile through the interface

8



### Phase-field model in the Gibbs-Thomson limit

„Order parameter“  $\phi$ , the „Phase-Field“ variable



$$F = \int_{\Omega} d^3x \frac{\varepsilon}{2} |\nabla \phi|^2 + \gamma \phi^2 (1-\phi)^2 + m \phi + f_0$$

$$F = \int_{\Omega} d^3x \frac{\sigma}{\eta} [\nabla \phi]^2 \eta^2 + 72 \phi^2 (1-\phi)^2 + \phi \Delta G_{\alpha\beta} (c_\alpha, c_\beta) + G_0 + \tilde{\mu} (c - \phi c_\alpha - (1-\phi) c_\beta)$$

$$\dot{\phi} = \frac{\partial \phi}{\partial t} = \mu (\kappa \sigma + \Delta G_{\alpha\beta})$$

$\sigma$ : interface energy  
 $\mu$ : interface mobility  
 $\eta$ : interface thickness  
 $\Delta G_{\alpha\beta}$ : Gibbs energy difference  
 $c$ : diffusion potential

Caginalp et Fife 1986, Wheeler et al. 1992

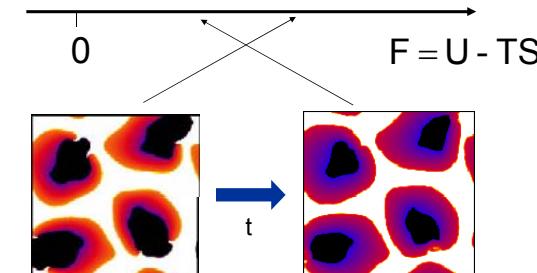
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9



### The phase-field functional

"A functional is a unequivocal mapping of a space of functions  $\phi(x)$  on to real numbers"



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10



### Background of "phase-field": The common model philosophy

Thermodynamic principle

$$\tau \dot{\phi} = - \frac{\delta F}{\delta \phi}$$

Material specific Model of the Free energy functional

$$F = \int_V \tilde{f}(\phi, c, T, \dots)$$

Mathematical rigorous derivation of the "thin interface limit"

$$\dot{\phi} = \mu (\sigma \kappa + \Delta G) |\nabla \phi|$$

Numerical sound solution

$$\kappa_i = \frac{\sum_j \phi_j - \phi_i}{\phi_i (1 - \phi_i) \cdot \Delta x^2} - \frac{(1 - 2\phi_i)}{\eta^2} \cdot 8$$

$\mu$ : interface mobility;  
 $\eta$ : interface thickness  
 $\Delta G$ : thermodynamic undercooling;

$\sigma$ : interface energy;  
 $\kappa$ : interface curvature;  
 $\Delta x$ : numerical discretization

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11



### Multi-phase-field model coupled to solute diffusion

$$F = \int d\Omega \left( \sum_{\alpha, \beta} \frac{\sigma_{\alpha\beta}(\bar{\eta}_\alpha, \bar{\eta}_\beta)}{\eta_{\alpha\beta}} K_{\alpha\beta}(\nabla \phi_\alpha, \nabla \phi_\beta, \phi_\alpha, \phi_\beta) + \sum_\alpha \phi_\alpha f_\alpha(\bar{c}_\alpha) \right)$$

$$\dot{\phi}_\alpha = - \frac{1}{n} \sum_\beta \mu_{\alpha\beta} \left( \frac{\delta F}{\delta \phi_\alpha} - \frac{\delta F}{\delta \phi_\beta} \right)$$

$$\dot{c}^i = \sum_{k=1}^m \nabla M^{ik} \nabla \frac{\delta F}{\delta c^k} = \sum_{k=1}^m \sum_{\alpha=1}^n \nabla \phi_\alpha D_\alpha^{ik} \nabla c_\alpha^k$$

Steinbach MSMSE 2009

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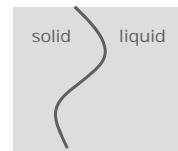
12



### Free boundary problem of crystallization: the „Stephan problem“

$$1+2) \rho c_p \dot{T} = \lambda \Delta T + L_0 \dot{\phi}$$

$$2) L_0 \cdot v = \lambda_{\text{liq}} \cdot \nabla T|_{\text{liq}} - \lambda_{\text{sol}} \cdot \nabla T|_{\text{sol}}$$



$v$ : velocity of the interface  
 $\kappa$ : curvature

Gibbs-Thomson equation

$$3) v = \mu \left( T_{\text{eq}} - T_{\text{intf}} \right)$$

$$3') \dot{\phi} = \mu \left\{ \Gamma \left( \Delta^2 \phi - \frac{\pi^2}{2\eta^2} \left( \frac{1}{2} - \phi \right) \right) + \Delta \phi (T_{\text{eq}} - T_{\text{intf}}) \right\}$$

$\lambda$ : heat conductivity

$\rho c_p$ : specific heat

$L_0$ : latent heat

$\Gamma$ : Gibbs-Thomson coefficient

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13



### Outline

- Basics of “phase-field” models
- Elastic interactions
- Examples:
  - Pearlite
  - Strain stabilized precipitation

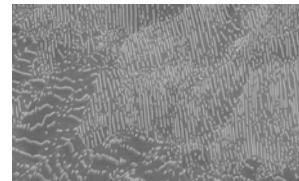
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14



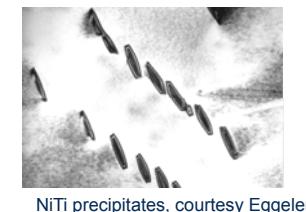
### Motivation I: Phase distribution in solid state

Pearlite, courtesy Benteler



Bainite

Ni-base super alloy



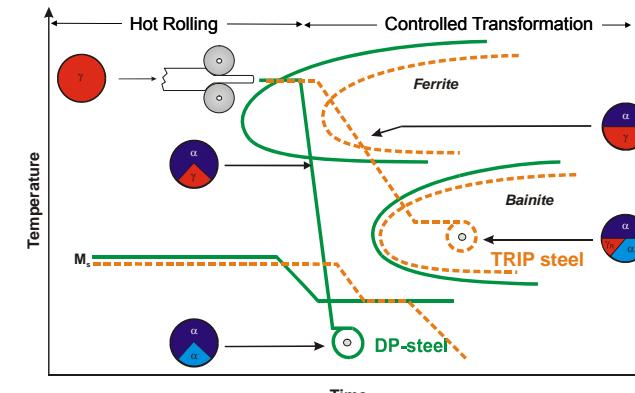
NiTi precipitates, courtesy Eggeler

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15



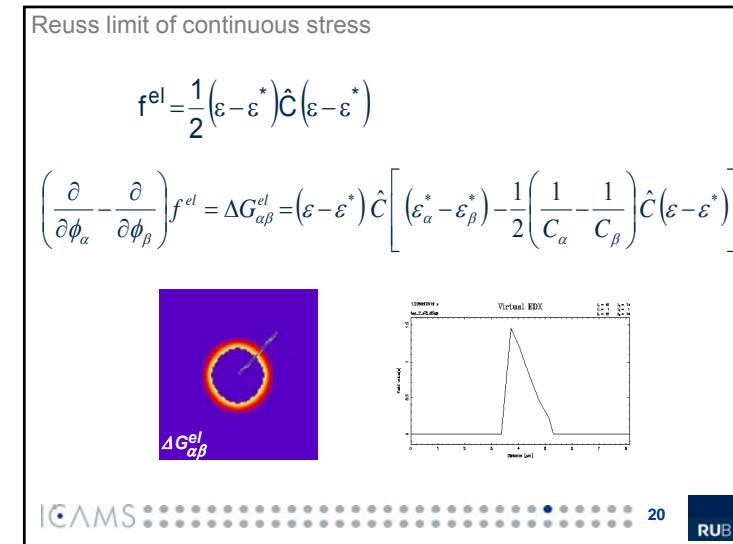
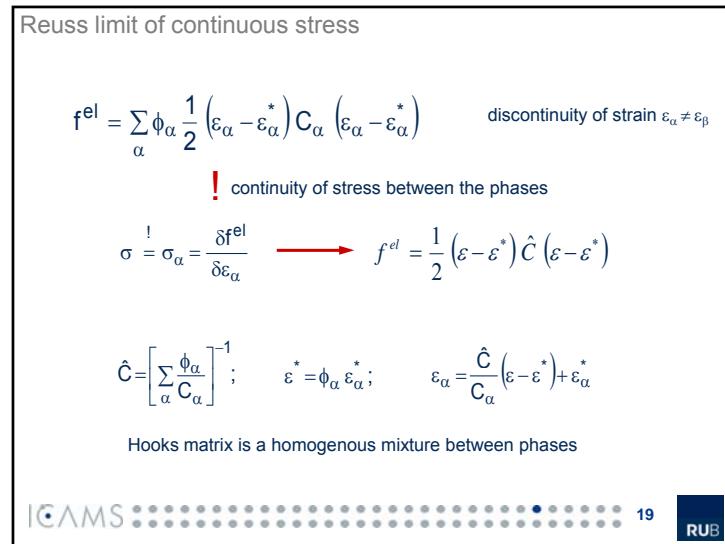
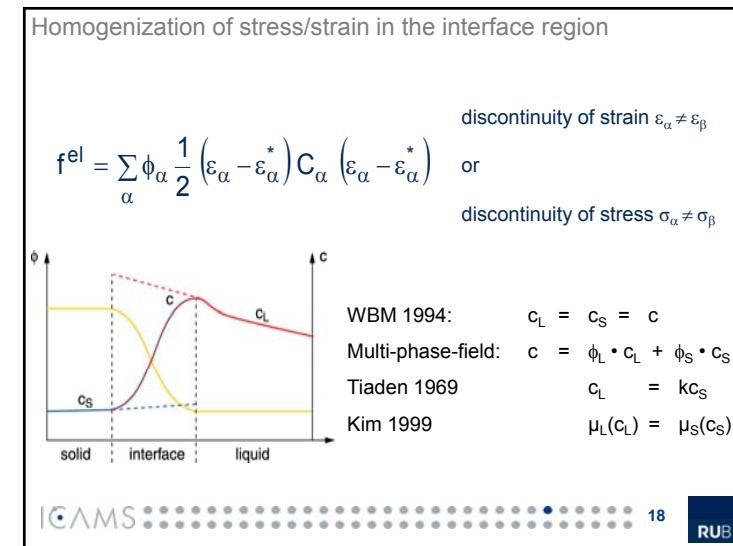
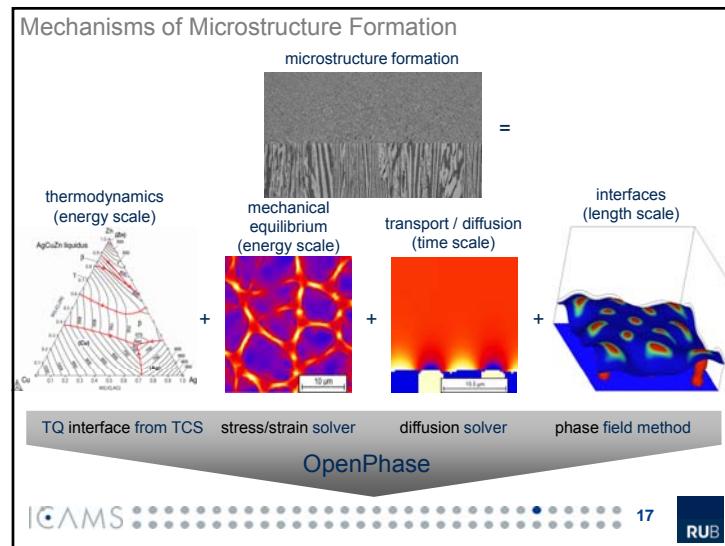
### Motivation II: Processing of multiphase steels



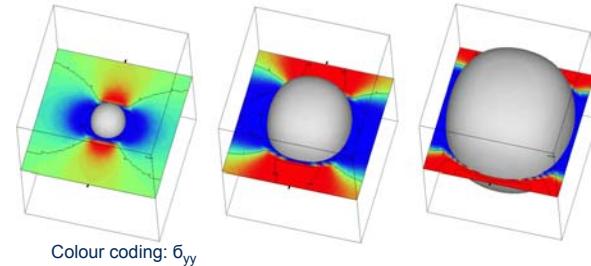
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16





Verification: growth of a single particle in a matrix



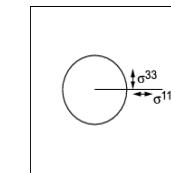
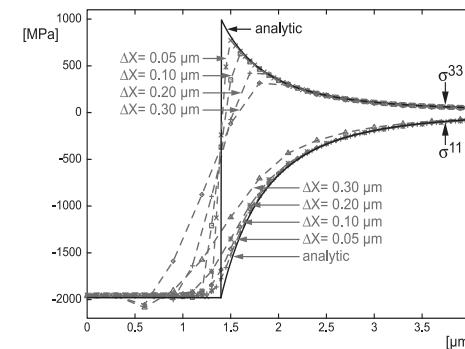
Elasticity moduli:  $C_{11} = 280\text{GPa}$ ,  $C_{12} = 120\text{GPa}$ , Eigenstrain = 1%  
Thermodynamic data of Fe-C<sub>0.463at%</sub>-Mn<sub>0.496at%</sub>, TCFE3 database

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21



Test against analytical solution (Eshelby)

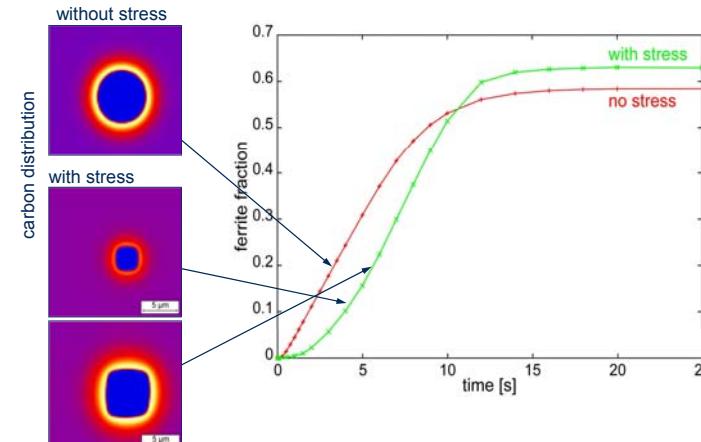


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22



Isothermal transformation: Growth of a single ferrite precipitate

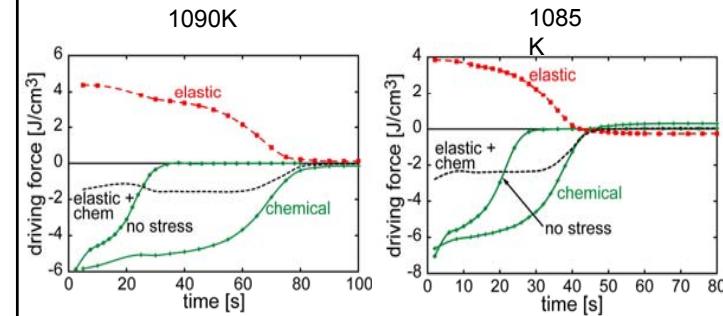


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23



Evolution of the driving forces during transformation

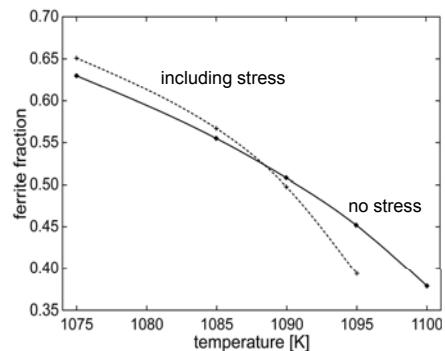


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24



### Dependence of equilibrium fraction on internal stress

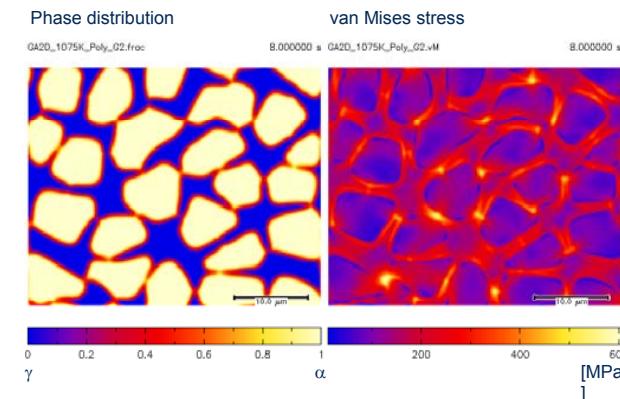


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25



### $\gamma/\alpha$ Transformation



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26



### Outline

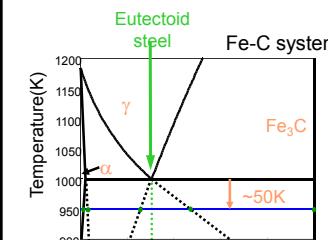
- Basics of "phase-field" models
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  - Strain stabilized precipitation

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27

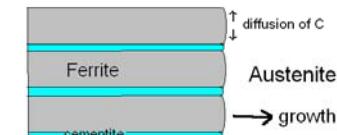


### Pearlite

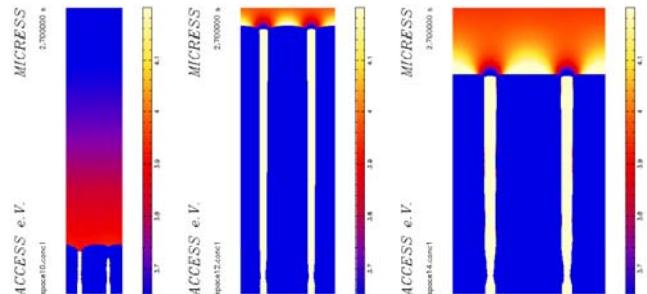


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28



## Pearlite



Phase-field calculation of diffusion controlled growth of pearlite with different spacings (Nakajima et al. 2006)

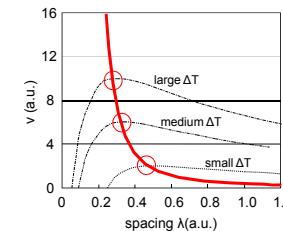
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29

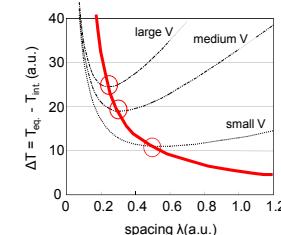


## Pearlite

The "Zener-Hillert-Tiller-Jackson-Hunt" Model  
1946 1957 1958 1966

Pearlitic:  $\Delta T = \text{const.}$ 

$$a_1 v = \Delta T / \lambda - a_2 / \lambda^2$$

Eutectic:  $v = \text{const.}$ 

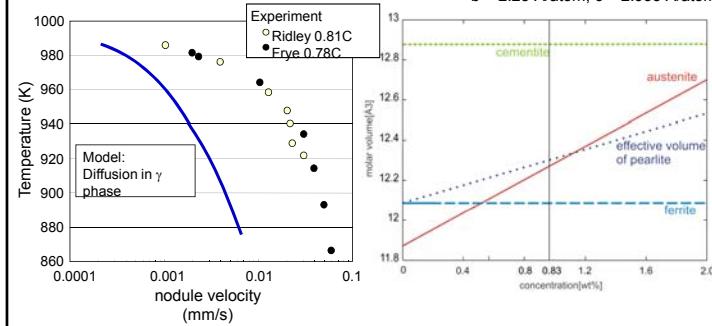
$$\Delta T = a_1 v \lambda + a_2 / \lambda$$

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30



## Transformation strain and concentration dependent strain

Lattice constants: austenite  $a = 2.301 \text{ \AA/atom}$ ferrite  $a = 2.298 \text{ \AA/atom}$ cementite  $a = 1.98 \text{ \AA/atom}$  $b = 2.23 \text{ \AA/atom}; c = 2.953 \text{ \AA/atom}$ 

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31



## Phase-field model coupled to elastic strain

$$f = f^i + f^{ch} + f^{el}$$

$$f^{el}(x, t) = \sum_{\alpha} \phi_{\alpha}(x, t) \frac{1}{2} (\varepsilon_{\alpha}(x, t) - \varepsilon_{\alpha}^* - \varepsilon_{\alpha}^1 c_{\alpha}(x, t)) C_{\alpha} (\varepsilon_{\alpha}(\cdot) - \varepsilon_{\alpha}^* - \varepsilon_{\alpha}^1 c_{\alpha}(\cdot))$$

$$\dot{\phi}_{\alpha} = \frac{1}{n} \sum_{\beta} \mu_{\alpha\beta} \left( \frac{\delta f}{\delta \phi_{\alpha}} - \frac{\delta f}{\delta \phi_{\beta}} \right) = \frac{1}{n} \sum_{\beta} \mu_{\alpha\beta} (I_{\alpha\beta} + \Delta G_{\alpha\beta}^{chem} + \Delta G_{\alpha\beta}^{el})$$

$$\dot{c} = \sum_{\alpha} \nabla M_{\alpha} \phi_{\alpha} \nabla \frac{\delta f}{\delta c_{\alpha}} = \sum_{k=1}^m \nabla M_k \phi_k \left( \frac{\delta^2 f}{\delta c_{\alpha}^2} \nabla c_{\alpha} + \varepsilon_{\alpha}^1 \nabla \sigma \right)$$

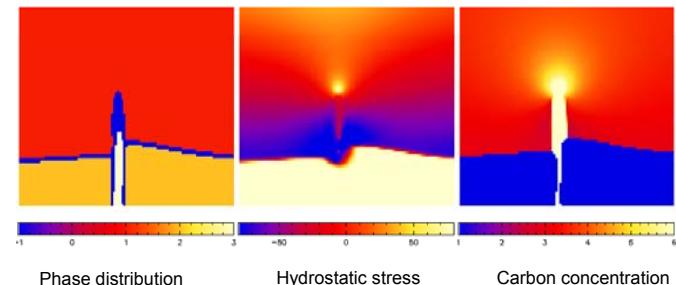
$$0 = \nabla \frac{\delta f}{\delta \varepsilon} = \nabla \sigma - \sum_{\alpha} \nabla \phi_{\alpha} C_{\alpha} (\varepsilon_{\alpha} - \varepsilon_{\alpha}^* - \varepsilon_{\alpha}^1 c_{\alpha})$$

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32



The effect of transformation strain on growth

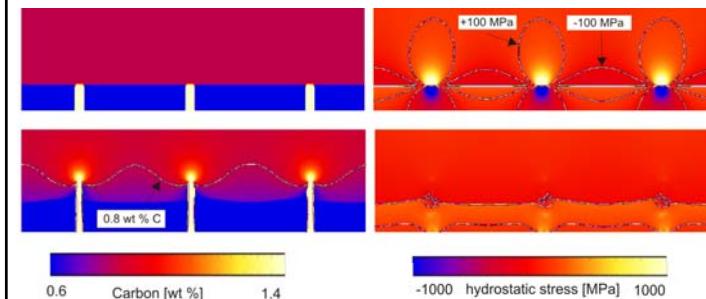


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33



The effect of transformation strain on growth

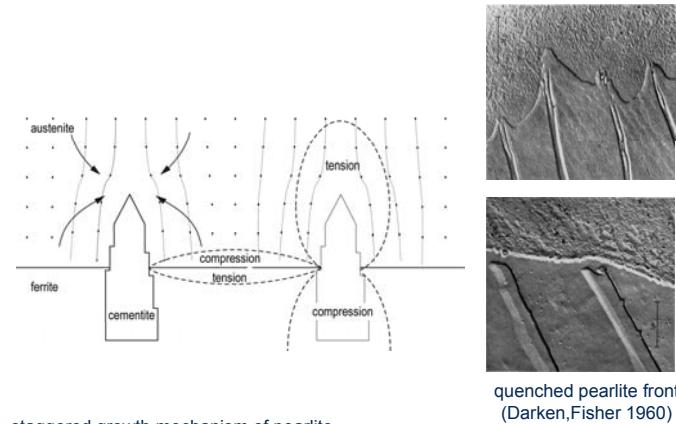


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34



The effect of transformation strain on growth

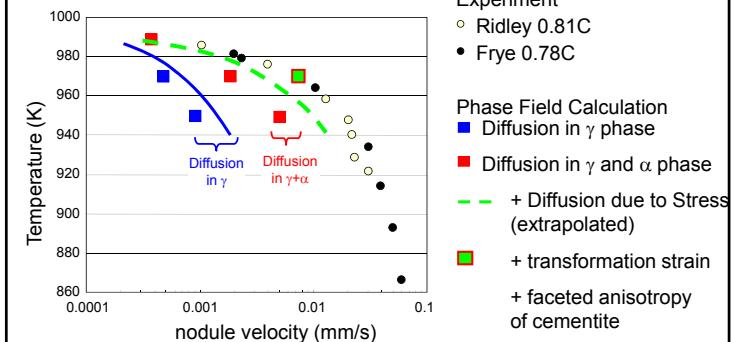


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35



The effect of transformation strain on growth

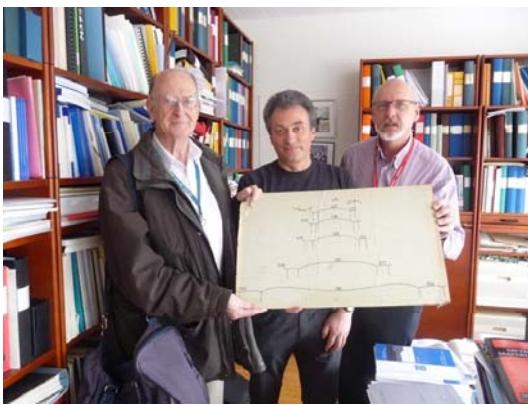


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36



Mats Hillert      IS      John Ågren



Stokholm 2009

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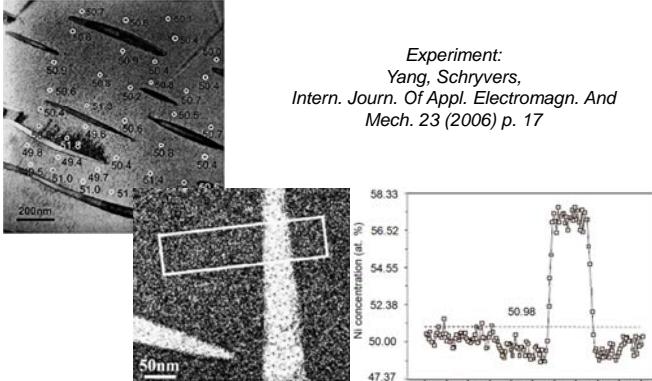
## Outline

- Basics of “phase-field” models
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  - Strain stabilized precipitation

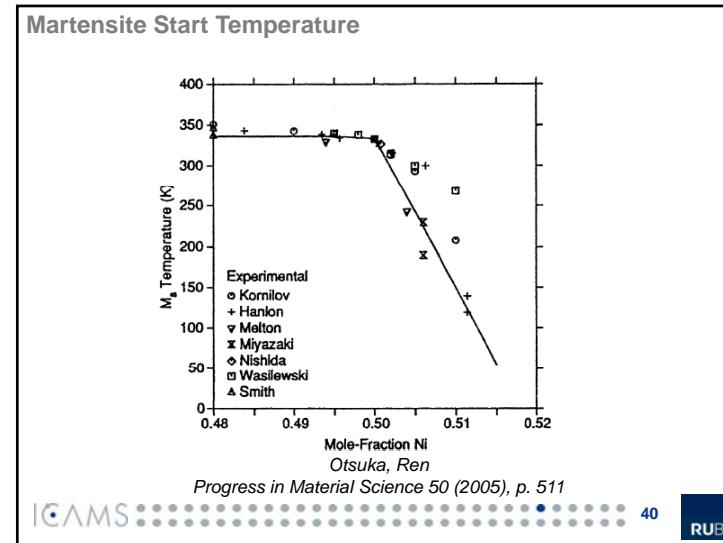
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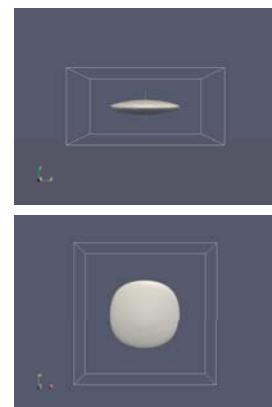
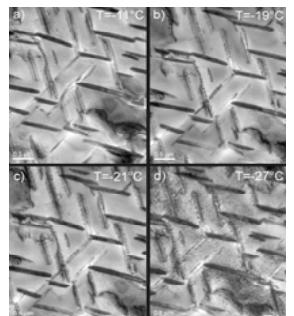
Precipitation in Ni-Ti shape memory alloys:  
experimental observations

*Experiment:*  
Yang, Schryvers,  
*Intern. Journ. Of Appl. Electromagn. And  
Mech.* 23 (2006) p. 17



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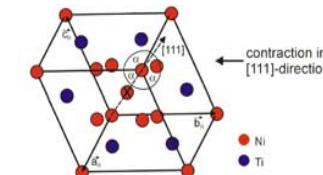
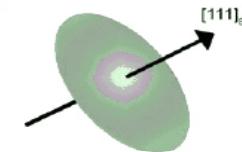


Ni<sub>4</sub>Ti<sub>3</sub> precipitate in NiTi

ICAMS DF 41 RUB

Ni<sub>4</sub>Ti<sub>3</sub> precipitate in NiTi

Shape of precipitate



after T. Tadaki et al.  
Trans. JIM 27 (1986) 731

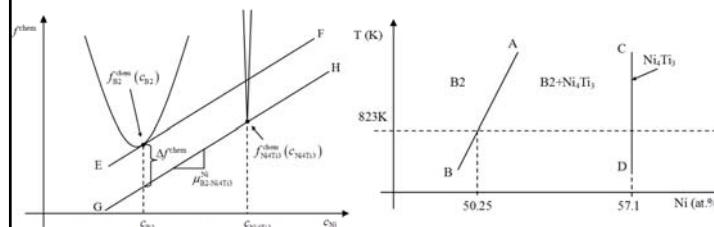
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## Initial conditions

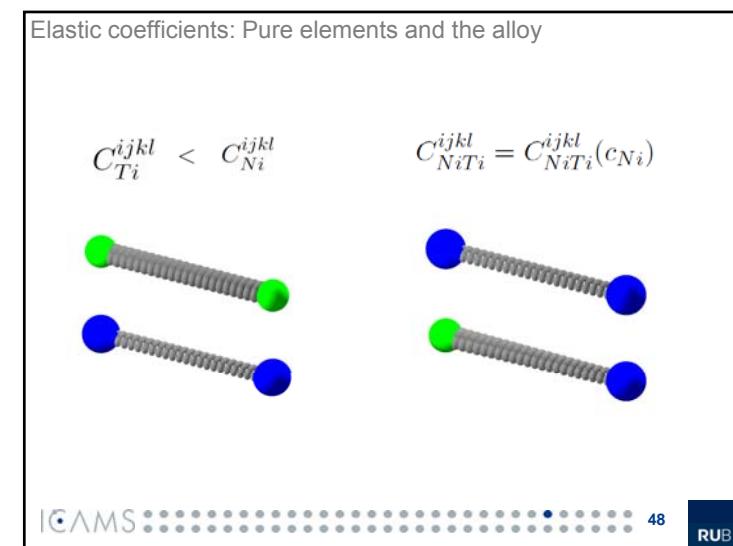
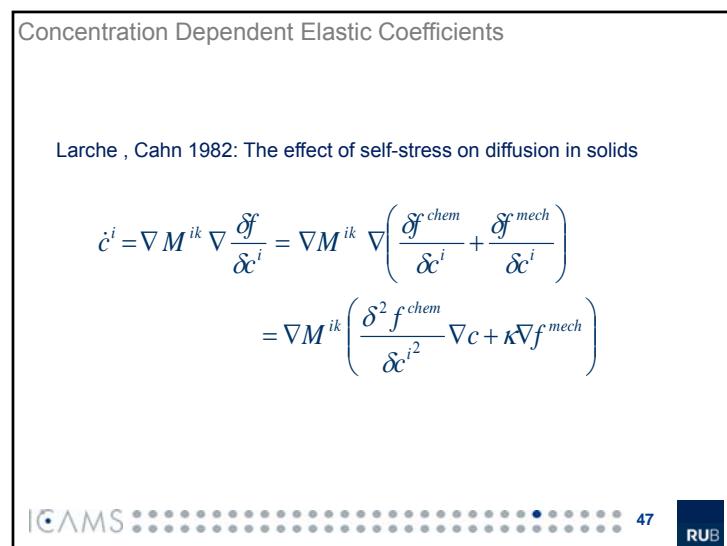
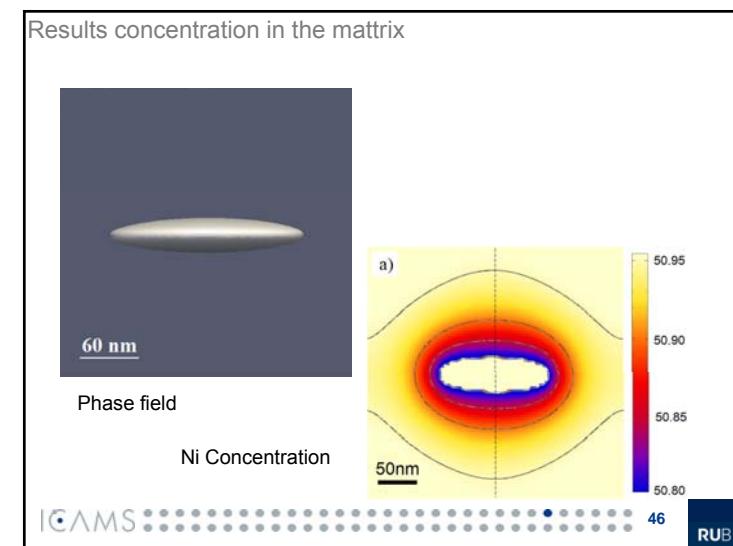
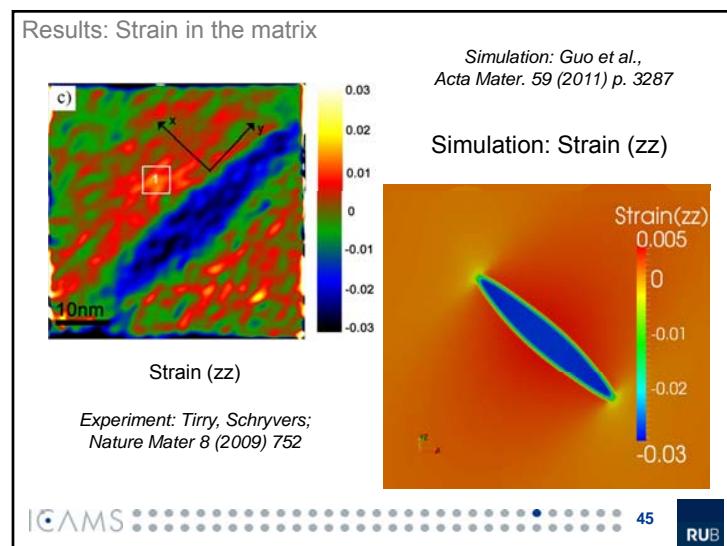
- Ni<sub>4</sub>Ti<sub>3</sub> precipitates in the Ni oversaturated B2 matrix
  - C<sub>B2,0</sub> = 51.0 at.%
  - C<sub>Ni<sub>4</sub>Ti<sub>3</sub></sub> = 57.1 at.%
- Diffusion controlled precipitate growth
  - Diffusion only in the matrix, no diffusion in the stoichiometric phase
- Lattice mismatch
  - Anisotropic eigenstrains:  $\epsilon_{Ni_4Ti_3}^* = \begin{bmatrix} -0.00438 & 0 & 0 \\ 0 & -0.00438 & 0 \\ 0 & 0 & -0.02688 \end{bmatrix}$

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## The phase diagram



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### Elastic Properties of B2 Ni-Ti with Defects

- Perform full relaxation of B2 NiTi supercells with vacancies and substitutions to compare changes in elastic energy
- Obtain DFT elastic properties as a function of Ni-concentration
- Use DFT elastic properties and trends as inputs for phase-field (PF) models



1) Obtain DFT  $C_{ij}$  as function of Ni-concentration

$$E(V, \alpha) = E(V_0, 0) + V_0 \left( \sum_i \tau_i \alpha_i + \frac{1}{2} \sum_{ij} C_{ij} \alpha_i \xi_i \alpha_j \xi_j \right)$$

2) Import concentration dependent  $C_{ij}$  into PF-model

$$C^{ijkl} = \sum_{\alpha=1}^N \phi_{\alpha} C_{\alpha}^{ijkl} = \sum_{\alpha=1}^N \phi_{\alpha} C_{\alpha,0}^{ijkl} [1 + \kappa_{\alpha}^n \Delta c^n]$$

3) Incorporate into PF mechanical energy term

$$f_{\text{mech}} = \frac{1}{2} \sum_{\alpha=1}^N \phi_{\alpha} [\epsilon_{\alpha}^{ij} - \epsilon_{\alpha}^{*ij}] C_{\alpha}^{ijkl} (\vec{c}_{\alpha}) [\epsilon_{\alpha}^{kl} - \epsilon_{\alpha}^{*kl}]$$

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### Concentration Dependent Elastic Coefficients

- Linear coupling between the elastic coefficients and the Ni concentration:

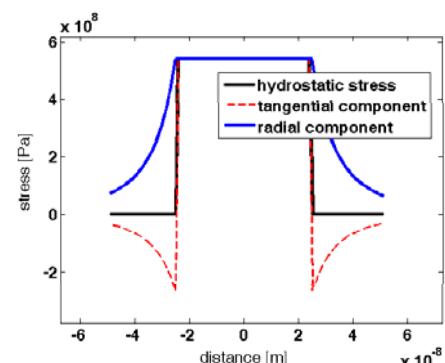
$$C^{ijkl}(c) = \sum_{\alpha=1}^N \phi_{\alpha} C_{\alpha}^{ijkl}(c) = \sum_{\alpha=1}^N \phi_{\alpha} C_{\alpha,0}^{ijkl} (1 + K^{\alpha} \Delta c^{\alpha})$$

- Elastic contribution to the fluxes:

$$\begin{aligned} j &= \nabla \left[ M \frac{\partial f^{CH}}{\partial c} + M \frac{\partial f^{EL}}{\partial c} \right] \\ &= j_{Diff} + M \frac{\partial \epsilon^{ij}}{\partial c} \nabla \sigma^{ij} + MK \nabla \left[ \epsilon^{ij} C_0^{ijkl} \epsilon^{kl} \right] - c_{\alpha}^0 \\ &= 0 \end{aligned}$$

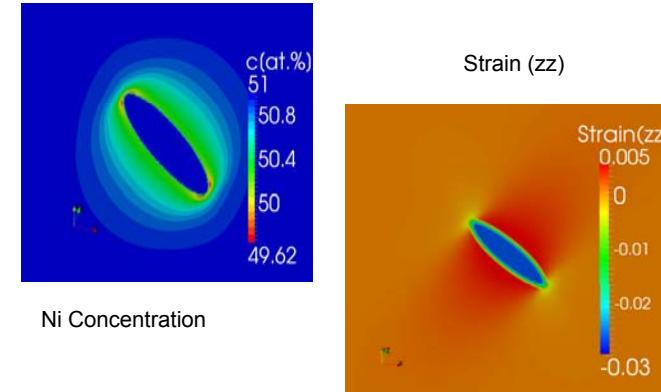
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### The role of hydrostatic stress

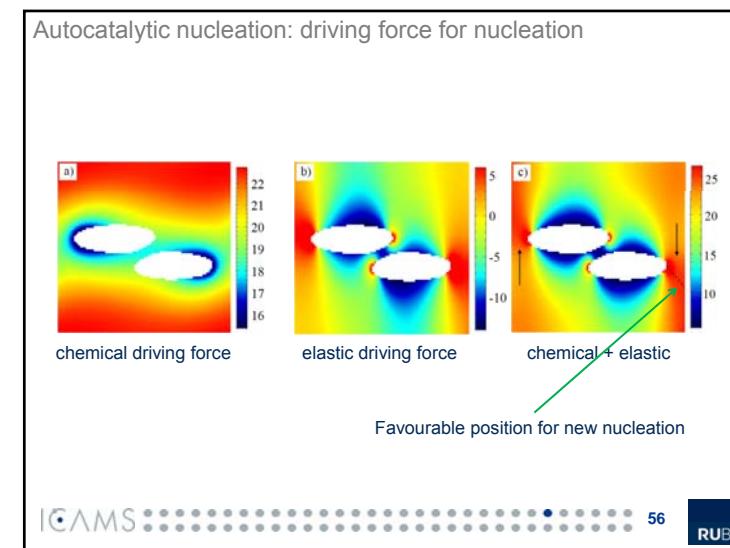
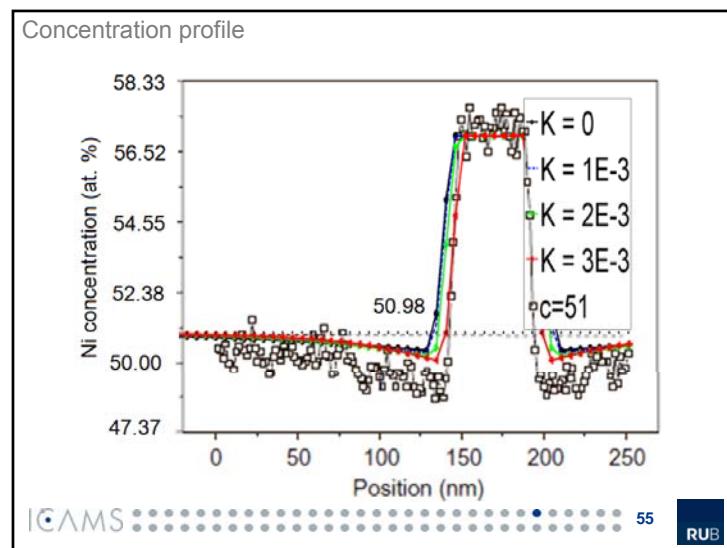
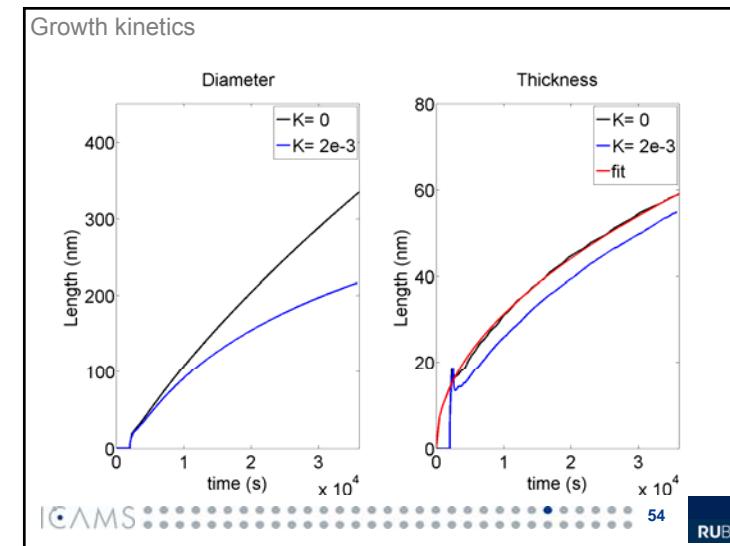
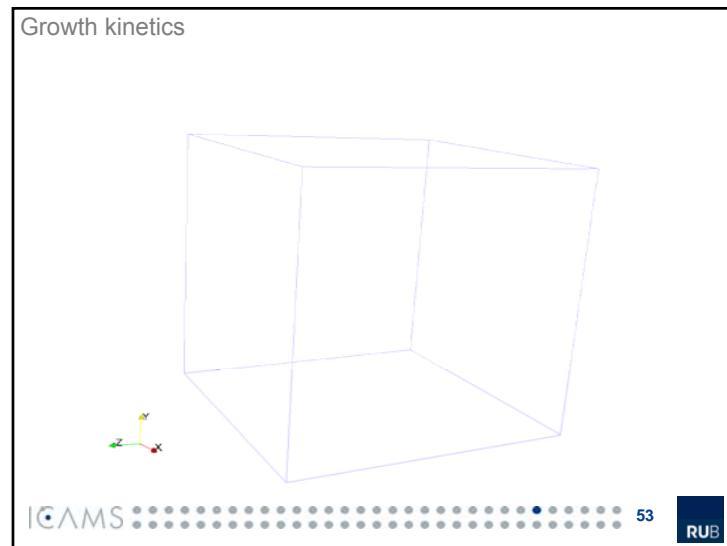


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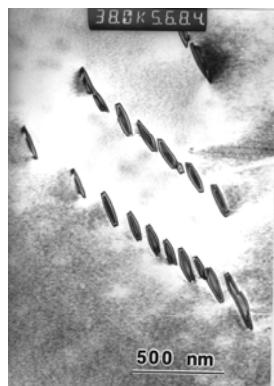
### Simulations with concentration dependent elasticity ( $K=2e-3$ )



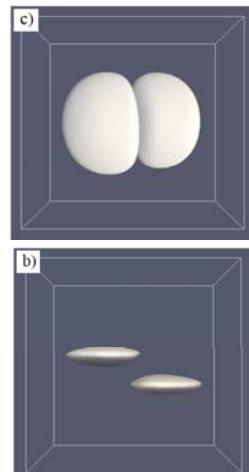
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## Autocatalytic nucleation



Experiments Eggeler, Bochum



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57



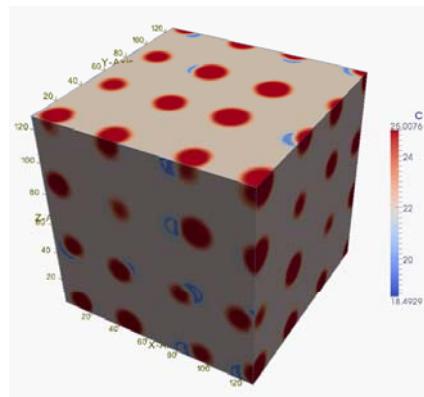
## Conclusion

- Solid state transformations cannot be well understood without the consideration of stress-strain effects.
- The phase-field method provides a thermodynamic consistent framework for the investigation of phase transformations subject to elastic effects.
- Examples were presented which demonstrate the predictive capability of the approach.

[www.OpenPhase.de](http://www.OpenPhase.de)

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58

Precipitation of  $\gamma'$  in a Ni-Al alloy

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59

