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# Single Crystal Gradient Plasticity – Part II

Chair for Continuum Mechanics – Institute of Engineering Mechanics



- **Fundamental dislocation theory**  
e.g. Taylor (1934); Orowan (1935); Schmid and Boas (1935); Hall (1951); Petch (1953)
- **Kinematics and crystallographic aspects of GNDs**  
Nye (1953); Bilby, Bullough and Smith (1955); Kröner (1958); Mura (1963); Arsenlis and Parks (1999)
- **Thermodynamic gradient theories**  
e.g. Fleck et al. (1994); Steinmann (1996); Menzel and Steinmann (2001); Liebe and Steinmann (2001); Reese and Svendsen (2003); Berdichevski (2006); Ekh et al. (2007); Gurtin, Anand and Lele (2007); Fleck and Willis (2009); Bargmann et al. (2010); Miehe (2011)
- **Slip resistance dependent on GNDs and SSDs**  
e.g. Becker and Miehe (2004); Evers, Brekelmans and Geers (2004); Cheong, Busso and Arsenlis (2005)
- **Micromorphic approach**  
e.g. Forest (2009); Cordero et al. (2010); Aslan et al. (2011)
- **Continuum Dislocation Dynamics**  
e.g. Hochrainer (2006); Hochrainer, Zaiser and Gumbsch (2007); Hochrainer, Zaiser and Gumbsch (2010); Sandfeld, Hochrainer and Zaiser (2010); Sandfeld (2010)

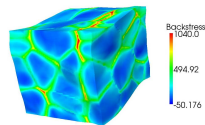
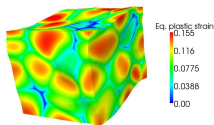
Motivation

Equivalent Plastic Strain Gradient Plasticity

Numerical results

Dislocation density model

Conclusion and Outlook



# Motivation

- Typical crystal gradient plasticity extension:

$$W(\dots) \rightarrow W(\dots) + W_g(\nabla\gamma_1, \nabla\gamma_2, \dots, \nabla\gamma_N)$$

- **Problem:** 15 node variables (FCC)  
 → **Gradients massively increase** DOFs

- **Proposition:**

$$W(\dots) \rightarrow W(\dots) + W_g(\nabla\gamma_{eq})$$

- Simplification  $\alpha \rightarrow \nabla\gamma_{eq}$
- node variables: 15  $\rightarrow$  4

# Equivalent Plastic Strain Gradient Crystal Plasticity

- Small Strains

$$\mathbf{H} = \nabla \mathbf{u} = \mathbf{H}^e + \mathbf{H}^p; \quad \mathbf{H}^p = \sum_{\alpha} \lambda_{\alpha} \mathbf{d}_{\alpha} \otimes \mathbf{n}_{\alpha}; \quad \boldsymbol{\varepsilon}^p = \sum_{\alpha} \lambda_{\alpha} \mathbf{M}_{\alpha}^S$$

Two slip parameters  $\lambda_{\alpha}$  per slip system,  $\dot{\lambda}_{\alpha} \geq 0$ .

- Equivalent plastic strain  $\gamma_{eq} \rightarrow \sum_{\alpha} \lambda_{\alpha} = \Sigma_{\lambda}$

- Equality of  $\gamma_{eq}$  and  $\Sigma_{\lambda}$  is weakly enforced

- Stored Energy  $W = W_e(\boldsymbol{\varepsilon}, \boldsymbol{\varepsilon}^p) + W_h(\Sigma_{\lambda}) + W_g(\nabla \gamma_{eq}) + \check{p}(\Sigma_{\lambda} - \gamma_{eq})$   
 $\check{p}$ : Lagrange multiplier

- Independent variables:  $\mathbf{u}, \lambda_{\alpha}, \gamma_{eq}$

# Equivalent Plastic Strain Gradient Crystal Plasticity

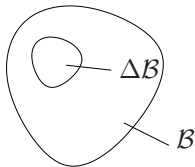
Variation of displacements ( $\delta\lambda_\alpha = \delta\gamma_{eq} = 0$ )

$$\delta \int_{\Delta\mathcal{B}} W \, dv = \int_{\Delta\mathcal{B}} \partial_\varepsilon W \cdot \delta\varepsilon \, dv = \int_{\partial\Delta\mathcal{B}} \mathbf{t} \cdot \delta\mathbf{u} \, da \quad (1)$$

$$\Leftrightarrow \int_{\partial\Delta\mathcal{B}} (\partial_\varepsilon W \mathbf{n} - \mathbf{t}) \cdot \delta\mathbf{u} \, da - \int_{\Delta\mathcal{B}} \operatorname{div}(\partial_\varepsilon W) \cdot \delta\mathbf{u} \, dv = 0 \quad (2)$$

Result:

- Cauchy stress  $\boldsymbol{\sigma} = \partial_\varepsilon W$
- Linear momentum balance  $\operatorname{div}(\boldsymbol{\sigma}) = 0$



# Equivalent Plastic Strain Gradient Crystal Plasticity

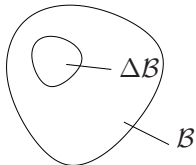
Variation of  $\gamma_{eq}$  ( $\delta\lambda_\alpha = 0$ ,  $\delta\mathbf{u} = \mathbf{0}$ )

$$\delta \int_{\Delta\mathcal{B}} W \, dv = \int_{\Delta\mathcal{B}} \underbrace{(\partial_{\gamma_{eq}} W)}_{-\check{p}} \delta\gamma_{eq} + \underbrace{\partial_{\nabla\gamma_{eq}} W}_{\boldsymbol{\xi}} \cdot \nabla(\delta\gamma_{eq}) \, dv = \int_{\partial\Delta\mathcal{B}} \Xi \delta\gamma_{eq} \, da$$

$$\Leftrightarrow \int_{\partial\Delta\mathcal{B}} (\boldsymbol{\xi} \cdot \mathbf{n} - \Xi) \cdot \delta\mathbf{u} \, da - \int_{\Delta\mathcal{B}} (\operatorname{div}(\boldsymbol{\xi}) + \check{p}) \delta\gamma_{eq} \, dv = 0$$

Result:

- Micro traction  $\Xi = \boldsymbol{\xi} \cdot \mathbf{n}$
- Backstress  $\check{p} = -\operatorname{div}(\boldsymbol{\xi})$



# Equivalent Plastic Strain Gradient Crystal Plasticity

Dissipation and flow rule

$$D_{tot}(\Delta\mathcal{B}) = \int_{\partial\Delta\mathcal{B}} (\mathbf{t} \cdot \dot{\mathbf{u}} + \Xi \dot{\gamma}_{eq}) da - \int_{\Delta\mathcal{B}} \dot{W} dv \stackrel{!}{\geq} 0 \quad (3)$$

with  $\operatorname{div}(\boldsymbol{\sigma}) = \mathbf{0}$ ,  $\boldsymbol{\sigma}\mathbf{n} = \mathbf{t}$ ,  $\Xi = \boldsymbol{\xi} \cdot \mathbf{n}$  and  $\check{p} = -\operatorname{div}(\boldsymbol{\xi})$ :

$$D_{tot}(\Delta\mathcal{B}) = \int_{\Delta\mathcal{B}} \underbrace{\sum_{\alpha} (\boldsymbol{\sigma} \cdot \mathbf{M}_{\alpha}^S - \partial_{\Sigma_{\lambda}} W_h - \check{p}) \dot{\lambda}_{\alpha}}_{\mathcal{D}} dv \stackrel{!}{\geq} 0 \quad (4)$$

Local dissipation  $\mathcal{D} \stackrel{!}{\geq} 0 \Rightarrow$  Flow rule, e. g. :

$$\dot{\lambda}_{\alpha} = \dot{\gamma}_0 \left\langle \frac{\tau_{\alpha} - (\tau_0^C + \partial_{\Sigma_{\lambda}} W_h + \check{p})}{\tau^D} \right\rangle^p \quad (5)$$



# Equivalent Plastic Strain Gradient Crystal Plasticity

Global residuals for FE-Implementation

$$G^u = \int_{\mathcal{B}} \boldsymbol{\sigma} \cdot \delta \boldsymbol{\varepsilon} \, dv - \int_{\partial \mathcal{B}_t} \bar{\mathbf{t}} \cdot \delta \mathbf{u} \, da \stackrel{!}{=} 0$$

$$G^{\gamma_{eq}} = \int_{\mathcal{B}} (\boldsymbol{\xi} \cdot \nabla (\delta \gamma_{eq}) - \check{p} \delta \gamma_{eq}) \, dv - \int_{\partial \mathcal{B}_{\Xi}} \bar{\Xi} \delta \gamma_{eq} \, da \stackrel{!}{=} 0$$

Local (integration point) residuals based on implicit Euler:

$$\mathbf{r}^{\boldsymbol{\sigma}} = \underbrace{\mathbb{C}^{-1}[\boldsymbol{\sigma}]}_{\boldsymbol{\varepsilon}^e} - \left( \boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}_n^p - \sum_{\alpha} \Delta \lambda_{\alpha}(\boldsymbol{\sigma}, \check{p}) \mathbf{M}_{\alpha}^S \right) \stackrel{!}{=} \mathbf{0}$$

$$r^p = \gamma_{eq} - \left( \gamma_{eq,n} + \sum_{\alpha} \Delta \lambda_{\alpha}(\boldsymbol{\sigma}, \check{p}) \right) \stackrel{!}{=} 0$$

## ■ Penalty approximation

$$W = W_e(\boldsymbol{\varepsilon}, \boldsymbol{\varepsilon}^p) + W_h(\boldsymbol{\Sigma}_\lambda) + W_g(\nabla \gamma_{eq}) + \check{p}(\boldsymbol{\Sigma}_\lambda - \gamma_{eq})$$

$$\rightarrow W = W_e(\boldsymbol{\varepsilon}, \boldsymbol{\varepsilon}^p) + W_h(\boldsymbol{\Sigma}_\lambda) + W_g(\nabla \gamma_{eq}) + \frac{1}{2} H_\chi (\boldsymbol{\Sigma}_\lambda - \gamma_{eq})^2$$

- Penalty parameter  $H_\chi$ : large number
- Energy  $1/2 H_\chi (\boldsymbol{\Sigma}_\lambda - \gamma_{eq})^2$  penalizes deviations of  $\boldsymbol{\Sigma}_\lambda$  from  $\gamma_{eq}$

## ■ Grain Boundary Yield Criterion

$$f_\Gamma = \llbracket \boldsymbol{\Xi} \rrbracket - \boldsymbol{\Xi}^C$$

- $\boldsymbol{\Xi}^C$ : Grain boundary yield stress
- Grain boundary yield resistance
- Delays initiation of plastic flow on the grain boundaries

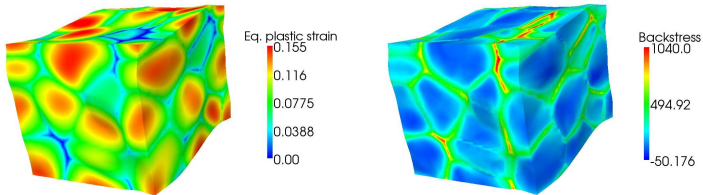


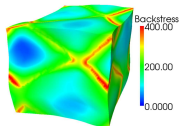
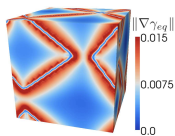
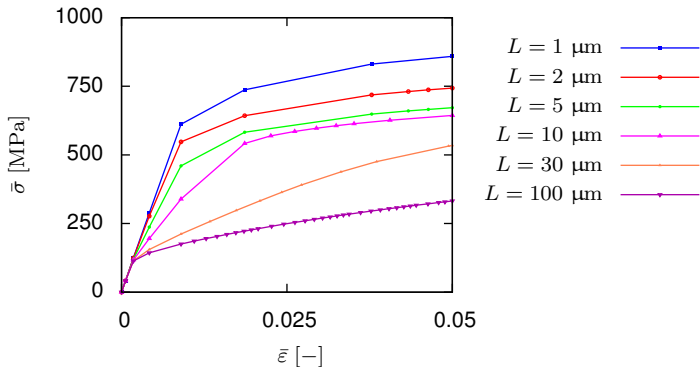
Figure: Periodic Simulation, 2 Mio. Dof

## Hardening model

$$W_g = \frac{1}{2} K_G \nabla \gamma_{eq} \cdot \nabla \gamma_{eq}; \quad W_h(\Sigma_\lambda) = \tau_\infty^C \Sigma_\lambda + \frac{1}{\theta_0} (\tau_\infty^C - \tau_0^C)^2 \exp\left(-\frac{\theta_0 \Sigma_\lambda}{\tau_\infty^C - \tau_0^C}\right)$$

## Material Parameters

| $E$    | $\nu$ | $\dot{\gamma}_0$         | $p$ | $\tau^D$ | $\tau_0^C$ | $\tau_\infty^C$ | $\theta_0$ | $K_G$  |
|--------|-------|--------------------------|-----|----------|------------|-----------------|------------|--------|
| 70 GPa | 0.34  | $10^{-3} \text{ s}^{-1}$ | 50  | 1 MPa    | 50 MPa     | 100 MPa         | 500 MPa    | 0.01 N |



# Comparison With Hall-Petch Relation

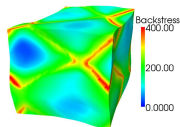
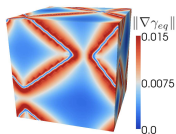
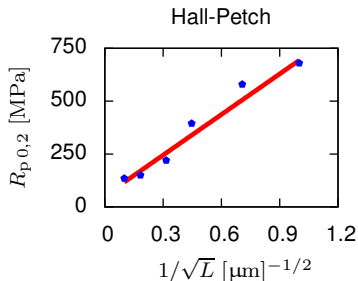
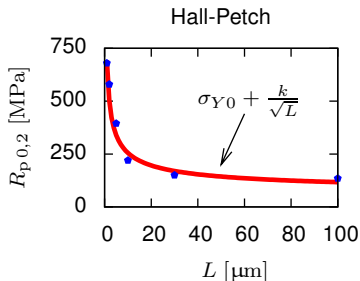
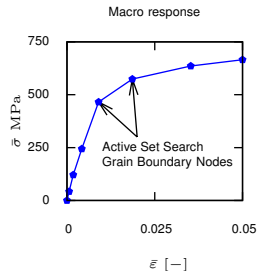


Figure: Tensile test with periodic 2-grain microstructure

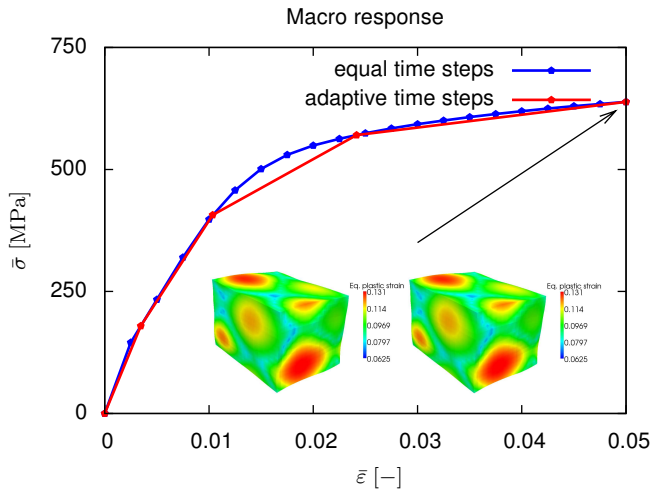
## Euclidean Norm of Force Residual

| $\Delta t$ : 0.012 s | 0.024 s  | 0.048 s  | 0.096 s         | 0.192 s         | 0.333 s  | 0.295 s  |
|----------------------|----------|----------|-----------------|-----------------|----------|----------|
| 1.16e+03             | 2.33e+03 | 4.64e+03 | 9.19e+03        | 1.83e+04        | 3.12e+04 | 2.66e+04 |
| 5.20e-01             | 5.79e+00 | 1.15e+04 | 2.55e+04        | 4.03e+04        | 3.85e+02 | 3.45e+02 |
| 9.11e-04             | 2.28e-01 | 8.26e+01 | 1.73e+02        | 2.66e+02        | 1.64e+02 | 1.87e+02 |
| 1.35e-05             | 2.20e-04 | 2.35e+01 | 3.15e+01        | 4.13e+01        | 3.84e+01 | 9.05e+01 |
| 2.01e-07             | 3.31e-06 | 3.71e+00 | 4.30e+00        | 6.23e+00        | 9.57e+00 | 2.09e+01 |
| 2.97e-09             | 5.00e-08 | 2.10e-01 | 4.24e-01        | 5.65e-01        | 4.12e+00 | 4.87e+00 |
|                      |          | 1.63e-02 | 2.91e-02        | 1.88e-02        | 5.67e-01 | 1.18e+00 |
|                      |          | 2.48e-04 | 3.64e-04        | 5.44e-05        | 7.97e-03 | 1.53e-01 |
|                      |          | 5.35e-07 | 5.21e-07        | <b>4.37e+02</b> | 3.94e-05 | 2.35e-02 |
|                      |          | 3.16e-09 | <b>6.48e+01</b> | 9.35e+01        | 1.24e-06 | 1.26e-04 |
|                      |          |          | 1.22e+00        | 3.19e+01        |          | 1.03e-06 |
|                      |          |          | 5.01e-02        | 3.00e+00        |          |          |
|                      |          |          | 3.10e-04        | 2.80e-01        |          |          |
|                      |          |          | 8.44e-07        | 9.25e-03        |          |          |
|                      |          |          | <b>2.13e+01</b> | 5.47e-05        |          |          |
|                      |          |          | 2.46e-01        | <b>4.67e+01</b> |          |          |
|                      |          |          | 2.56e-03        | 6.73e-01        |          |          |
|                      |          |          | 3.49e-06        | 8.37e-03        |          |          |
|                      |          |          | <b>4.66e+00</b> | 1.71e-05        |          |          |
|                      |          |          | 8.48e-03        | 1.47e-07        |          |          |
|                      |          |          | 1.41e-05        |                 |          |          |
|                      |          |          | <b>2.20e-01</b> |                 |          |          |
|                      |          |          | 1.28e-04        |                 |          |          |
|                      |          |          | 1.79e-07        |                 |          |          |
|                      |          |          | 3.56e-09        |                 |          |          |



Marked in **red**: Active set search of grain boundary nodes

# Dependence on Time Step Size



# Improvement of Cross Hardening Model

- Cross hardening is modelled by  $W_h(\Sigma_\lambda)$   
→ **phenomenological approach**
- Replacement by dislocation based approach  
 $\tau^C = \tau^C(\rho) = \tau_0^C + aGb\sqrt{\rho}$
- Advanced dislocation density model (until now only single slip)

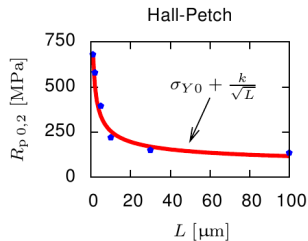
$$\begin{aligned}\partial_t \rho &= -\operatorname{div}(\boldsymbol{\kappa}^\perp \nu) + \overline{\rho k} \nu \\ \partial_t \overline{\rho k} &= -\operatorname{div}(\overline{\rho k} / \rho \nu \boldsymbol{\kappa}^\perp + \rho / 2 \nabla_p \nu) \\ \dot{\lambda} &= \rho b \nu \\ \nu &= \nu_0 \operatorname{sgn}(\tau) \left\langle \frac{|\tau| - \tau^C - \check{p}}{\tau^D} \right\rangle^p\end{aligned}$$

with  $\boldsymbol{\kappa}^\perp = 1/b(\mathbf{I} - \mathbf{n} \otimes \mathbf{n})\nabla\lambda$  and  $\nabla_p \nu = (\mathbf{I} - \mathbf{n} \otimes \mathbf{n})\nabla\nu$ .

Accounts for dislocation transport and curvature-induced line length production.



- Crystal plasticity model enhanced by gradient of equivalent plastic strain
- DOFs per node massively reduced
- Leads to backstress formally similar to other theories
- Classical FE-implementation + Grain boundary yield condition
- Converging results
- Results close to Hall Petch relation



# End of Part II

The support of the German Research Foundation (DFG) in the project "Dislocation based Gradient Plasticity Theory" of the DFG Research Group 1650 "Dislocation based Plasticity" under Grant BO 1466/5-1 is gratefully acknowledged.